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# B.Com. DEGREE (CBCS) EXAMINATION, NOVEMBER 2018

### **Third Semester**

CORE COURSE - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

(Common to all B.Com Degree Programmes)

2017 Admission Onwards

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Maximum Marks: 80

Time: 3 Hours

#### Part A

Answer any ten questions.

Each question carries 2 marks.

- 1. "Investment comes from savings". Illustrate.
- 2. What is meant by 'Forfaiting'?
- 3. What is SEBI? What are the objectives of SEBI?
- 4. Differentiate Full Underwriting from Firm Underwriting.
- 5. Describe any two innovative financial instruments?
- 6. What is meant by 'Remisier'?
- 7. What is 'contract note' in connection with trade in stock market?
- 8. What is Short Selling?
- 9. What is new fund offer?
- 10. Explain the role of 'Assest Management Companies' in mutual fund industry.
- 11. What do you understand from 'Commodity Futures'?
- 12. Define Options. How is it different from Futures?

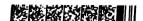
 $(10 \times 2 = 20)$ 

# Part B

Answer any six questions.

Each question carries 5 marks.

- 13. "Without a sound financial system economic progress will be impossible". Do you agree? Elucidate.
- "Indian money market is not a well developed market". Critically evaluate the weakness of Indian money market.
- 15. Differentiate right issue from bonus issue.



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- 16. What is meant by listing of securities? State the objectives of listing.
- 17. What are the different classification of Institutional investors?
- 18. Explain the different types of Mutual Funds on the basis of structure of funds.
- 19. What is NAV of mutual funds? What is its important in investment decisions?
- 20. Write a note on different type of derivatives.
- 21. What are the benefits of Future Contracts?

 $(6 \times 5 = 30)$ 

## Part C

Answer any two questions.

Each question carries 15 marks.

- 22. What do you mean by 'Capital market'? Give an overview of various capital market instruments in India.
- 23. Explain the important intermediaries in the new issue market.
- 24. What is Private Equity? Explain its methodology. How it is different from Venture Capital?
- 25. Elucidate the concept of ETFs along with its features, merits and demerits.

 $(2 \times 15 = 30)$