

QP CODE: 18103380



Reg No

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Name

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B.Com. DEGREE (CBCS) EXAMINATION, NOVEMBER 2018

Third Semester

CORE COURSE - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

(Common to all B.Com Degree Programmes)

2017 Admission Onwards

F6353485

Time: 3 Hours

Maximum Marks: 80

Part A

Answer any **ten** questions.

Each question carries **2** marks.

1. "Investment comes from savings". Illustrate.
2. What is meant by 'Forfeiting'?
3. What is SEBI? What are the objectives of SEBI?
4. Differentiate Full Underwriting from Firm Underwriting.
5. Describe any two innovative financial instruments?
6. What is meant by 'Remisier'?
7. What is 'contract note' in connection with trade in stock market?
8. What is Short Selling?
9. What is new fund offer?
10. Explain the role of 'Assest Management Companies' in mutual fund industry.
11. What do you understand from 'Commodity Futures'?
12. Define Options. How is it different from Futures?



(10×2=20)

Part B

Answer any **six** questions.

Each question carries **5** marks.

13. "Without a sound financial system economic progress will be impossible". Do you agree? Elucidate.
14. "Indian money market is not a well developed market". Critically evaluate the weakness of Indian money market.
15. Differentiate right issue from bonus issue.

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16. What is meant by listing of securities? State the objectives of listing.
 17. What are the different classification of Institutional investors?
 18. Explain the different types of Mutual Funds on the basis of structure of funds.
 19. What is NAV of mutual funds? What is its important in investment decisions?
 20. Write a note on different type of derivatives.
 21. What are the benefits of Future Contracts?

(6×5=30)

Part C

Answer any **two** questions.

Each question carries **15** marks.

22. What do you mean by 'Capital market'? Give an overview of various capital market instruments in India.
23. Explain the important intermediaries in the new issue market.
24. What is Private Equity? Explain its methodology. How it is different from Venture Capital?
25. Elucidate the concept of ETFs along with its features, merits and demerits.

(2×15=30)